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THERMODYNAMIC STABILITY OF β -METHOXY-SUBSTITUTED α,β -UNSATURATED KETONES AND THE CORRESPONDING CARBOXYLIC ESTERS

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Abstract—Chemical equilibration studies on isomeric β -methoxy-substituted α,β - and β,γ -unsaturated ketones and the corresponding carboxylic esters have been carried out. The α,β -isomers are highly favored at equilibrium if the MeO and keto (or ester) groups are *trans* disposed across the C=C bond and if these groups are unhindered by steric factors to conjugate with the olefinic bond. In acyclic ketones and esters the latter condition is not fulfilled if substituents essentially larger than hydrogen are bound to both C- α and C- β .

In previous papers,¹⁻⁶ we have studied the ability of various substituents X (X = alkyl, substituted alkyl, phenyl, halogen, alkoxy, vinyl) to stabilize the olefinic system of α , β -unsaturated (vinyl) ethers.

-0-C=C-X.

 β -Alkoxy- α , β -unsaturated ketones and the corresponding carboxylic esters may be regarded as substituted vinyl ethers with the moiety C(Y)=O(Y = alkyl, alkoxy)as the substituent X. Very little is known about the thermodynamic stability of these compounds. A decade ago, Rhoads et al.^{7,8} published a quantitative study of the thermodynamic stability of a few representatives of β methoxy- α , β -unsaturated esters. Maybe the most significant observation of these equilibration experiments was that a cis juxtaposition of MeO and COOMe groups in unsaturated acyclic and 6-membered cyclic compounds gives rise to a surprisingly destabilized system, see $\Delta G^{\theta}(373 \text{ K}) = -15 \text{ kJ mol}^{-1}$ for the cis to trans isomerization of the Me ester of 3-methoxypropenoic acid in cyclohexane solution.7 Similarly, a cis juxtaposition of MeO and acetyl groups is also unadvantageous, since $\Delta G^{\theta}(373 \text{ K}) \leq -14 \text{ kJ mol}^{-1}$ for the $cis \rightarrow trans$ reaction of 4-MeO-3-buten-2-one (neat liquid).⁹ Isomer equilibria in the systems 1-12 have now been studied to gain more data on the thermodynamic stability of the title compounds.







RESULTS AND DISCUSSION

The results of the equilibration experiments are shown in Table 1. The values of ΔG^{0} , ΔH^{0} and ΔS^{0} at 298.15 K for the various isomerization reactions were obtained by linear least-squares treatment of plots of ln K against T^{-1} . In many cases, one of the two or three possible isomeric forms was fovored at equilibrium to such an extent that the presence of the other isomer(s) could not be detected by GLC and 'H NMR spectrometric analysis of the equilibrium mixture. Hence only the limiting values of K and ΔG^{0} can be given to those reactions. For example, for $1a \rightleftharpoons 1b K(b/a) \ge 100$ and thus $\Delta G^{0} \le -14$ kJ mol⁻¹ at 373 K. The equilibration data for 1-6 reveal the high thermodynamic stability of the O-C=C-C=O system of the b isomers over the non-conjugated double-bond system in the β , γ - (a) isomers, as well as that of the *trans* configuration of the O-C=C-C=O moiety (in 3-6) relative to the *cis*. The high thermodynamic stability of the b form may probably be ascribed to strong $p-\pi-\pi$ conjugation in these compounds:

The extent of conjugation is likely to be most pronounced in 1b, since (a) the MeO group can assume the energetically most favorable planar s-cis conformation (the most stable conformation of methyl vinyl ether¹⁰), (b) the two π -systems are coplanar (the ring carbons, excluding C-5, lie in the same plane, cf. the structure of 2-cyclohexen-1-one¹¹), and (c) the MeO and C=O groups are *trans* disposed across the C=C bond. On the other hand, the MeO group of 2b cannot adopt the s-cis structure because of heavy steric crowding with



the Me group at C-2. Thus the MeO group is forced to assume either the nonplanar gauche or the planar s-trans structure, both of which are energetically less favorable (dipole moment data¹² point to a practically planar strans conformation). In the ketone 3b, the acetyl group is known^{13,14} to prefer the s-cis conformation about the $C(sp^2)-C(sp^2)$ single bond. However, the two double bonds are probably not strictly coplanar since the related compounds, ethylideneacetone 13 and mesityloxide 14, are calculated to have a twisted conformation with angles of rotation (ω) from the planar s-cis structure of 12.9 and 18.8°, respectively.¹⁵ The ester 17 is also reported¹⁶ to have the s-cis conformation about the C(sp²)-C(sp²) single bond and, by analogy, the same structure might be proposed for 4b, 5b and 6b.



Table 1. Thermodynamic data (T = 298.15 K unless otherwise stated) for the reactions studied in this work. The errors are twice the standard errors

Reaction	∆G [●] /kJ mol ⁻¹	ΔH [®] /kJ mol ⁻¹	∆S [●] /J K ⁻¹ mol ⁻¹	Solvent
$1a \rightarrow 1b$ to				
6a → 6b	≤ -14 ^ª			c-Hx, CCl ₄
7≞→7⊵	-1.44 ± 0.05	-3.6 ± 0.4	-7•2 <u>+</u> 1•2	o-Hx
8a,→8b	-6.88 <u>+</u> 0.14	-9•1 <u>+</u> 0•7	-7.3 <u>+</u> 1.8	о-Нх
%~→%	-7.54 <u>+</u> 0.18	-10•5 ± 1•0	-10.0 ± 2.7	cc14
10a -→ 10b	-7.99 <u>+</u> 0.21	-14•4 <u>+</u> 1•0	-21.5 <u>+</u> 2.7	cci4
1 <u>1</u> a→1 <u>1</u> b	-5.59 <u>+</u> 0.01	-5.3 <u>+</u> 0.1	1.0 <u>+</u> 0.1	cc14
12a →12b	-2.30 <u>+</u> 0.03	-3.5 ± 0.2	-4.1 <u>+</u> 0.5	c-Hx
3⊵→3⊙				
to	N14 ⁸			c-Hr. CCl
~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	<b>Z</b> 14			-11x, 001 ₄
7⊵→7⊆	3.62 <u>+</u> 0.03	-0.5 <u>+</u> 0.2	-13.6 <u>+</u> 0.6	c-Hx
⁸ ≿→8°	8.38 <u>+</u> 0.05	7.2 ± 0.3	-3.9 <u>+</u> 0.7	c-Hx
9⊵ → 9℃	14 ^a			cc14
100->100	8.65 <u>+</u> 0.26	13.8 <u>+</u> 1.3	17.2 <u>+</u> 3.4	cc14
110-110	5•55 <u>+</u> 0•03	7•2 <u>+</u> 0•2	5•5 <u>+</u> 0•4	CC14
7ª→7°	2.12 ± 0.04	-4•0 <u>+</u> 0•3	-20.9 <u>+</u> 1.0	с-Нж
8≞ →80	1.49 <u>+</u> 0.22	<b>-1.</b> 9 <u>+</u> 1.1	-11.2 <u>+</u> 2.9	с-Нх
9ª.→9℃	≥6.2 ^a			^{CO1} 4
$1_{\infty}^{0a} \rightarrow 1_{\infty}^{0c}$	0.65 <u>+</u> 0.01	0•7 <u>+</u> 0•1	-4•4 <u>+</u> 0•2	cci4
11a →110	-0.11 <u>+</u> 0.02	1.6 <u>+</u> 0.1	5.8 <u>+</u> 0.2	cc14

^aAt 373 K.

The reaction  $12a \rightarrow 12b$  also involves an isomerization of a  $\beta, \gamma$ -unsaturated carbonyl compound to the corresponding  $\alpha,\beta$ -isomer but the thermodynamic stability of the system formed is not high, see the modest  $\Delta G^{\circ}$ -value of only  $-2.30 \text{ kJ mol}^{-1}$  (Rhoads *et al.*⁷ give  $\Delta G^{\circ} = -1.57$ kJ mol⁻¹) for this reaction. This result, together with the markedly positive  $\Delta G^{\circ}$  values ( $\geq +14 \text{ kJ mol}^{-1}$ ) for the  $b \rightarrow c$  isomerization of 3-6 confirms the previous findings^{7,9} of the poor thermodynamic stability of a system in which alkoxy and CO groups are disposed *cis* across a C=C bond.

In 2b, the Me group at C-2 is likely to have a strong negative effect on molecular stability since it forces the MeO group to adopt a high-energy non-s-cis conformation, instead of the s-cis conformation in 1b. Yet the thermodynamic stability of 2b is high enough to cause this isomer to predominate in the equilibrium mixture  $[K(b/a) \ge 100 \text{ at } 373 \text{ K}]$ . On the other hand, in the acyclic ketone 7b the corresponding Me group lowers the stability of the  $\alpha,\beta$ -isomer sufficiently to produce considerable amounts of the non-conjugated  $\beta, \gamma$ -isomer (7a) in the equilibrium mixture [K(b/a) = 1.79 at 298.15 K]. The apparently higher destabilizing effect of the Me group concerned on the acyclic ketone 7b probably follows from a steric repulsion between the Me group and the acetyl moiety, taken to assume the (twisted) s-cis conformation. In 2b, the corresponding steric interaction



occurs between the Me group and the carbonyl oxygen and this interaction probably is not repulsive, see the structure of methyl esters of simple carboxylic acids.¹⁷

In cyclohexane solution, reaction  $8a \rightarrow 8b$  is 5.5 kJ mol⁻¹ more exothermic than reaction  $7a \rightarrow 7b$ . This is reasonable since the relative reaction enthalpies might be expected to be determined by the relative steric interaction energies S[Me...R] (R = Me for 7b, R = OMe for **8b**) in the s-cis conformation of the reaction products; the relative values of S[Me...R] may probably be approximated by the corresponding cis interaction energies across a C=C bond, +4.2 kJ mol⁻¹ for R = Me (destabilizing) and -2.9 kJ mol⁻¹ for R = OMe (stabilizing).^{18,19}

The reaction enthalpies for  $8a \rightarrow 8b$ .  $10a \rightarrow 10b$  and  $11a \rightarrow 11b$  are largely determined by the magnitudes of (a) the steric interaction energy between R and the two MeO groups of the ether and ester moieties and (b) the doublebond stabilizing power of the group R. Because of the many contributing factors involved and the uncertainties in their effects on the reaction enthalpy, it is difficult to predict the values of  $\Delta H^{\theta}$  (or even their relative order of magnitude) for these reactions. However, the experimental facts show that among the reaction products, 10b  $(\mathbf{R} = \mathbf{C}\mathbf{I})$  has the highest thermodynamic stability (relative to the corresponding  $\beta, \gamma$ -isomer). Similarly, it is even more difficult to predict the values of  $\Delta H^{\theta}$  for any reaction involving the other geometric isomer (c) since the exact stereochemistry of the acetyl group (in 7c and 9c) or the COOMe group (in 8c, 10c and 11c) is not known.

#### EXPERIMENTAL

Materials. The isomeric forms of 1-8 and 10-12 were obtained by treatment of the appropriate 1,3-diketones or  $\beta$ -keto esters (e.g. 1,3-cyclohexanedione for 1, methyl acetoacetate for 4 and methyl 2-chloroacetoacetate for 10) with trimethyl orthoformate in methanol,²⁰ usually without isolation of the intermediate acetal. Compound 9 was prepared from 3-chloro-2,4-pentanedione and dimethyl sulfate as described by Verhe *et al.*²¹ The yields were moderate to good (30-80%). In the case of 1-6, the presence of only the b isomer could be detected (by ¹H NMR) in the synthetic products, otherwise a mixture of isomers was obtained. Preparative glc (a Carbowax 20M column) and fractional distillation (Perkin-Elmer M 251 Auto Annular Still) were employed for further fractionation of the isomeric mixtures into their components.

Physical constants. 1 b.p. 99-102°/6 torr, 2 126-128°/6 torr, 3  $52^{\circ}/8$  torr, 4 61-62°/7 torr, 5 65°/8 torr, 6 82°/20 torr, 7 50-58°/8 torr (the corresponding acetal, 4,4-dimethoxy-3-methyl-2-pentanone, boiled at 73-75°/15 torr, 8a 53-55°/6 torr, 8b (+10% 8c) 65-70°/6 torr, 9a 61°/5 torr, 9b 78°/5 torr, 10a 78-80°/5 torr, 10h 104°/5 torr, 10e ca. 86°/5 torr (the acetal boiled at 92°/10 torr), 11 (mainly 11b) 76-78°/8 torr [the corresponding acetal, methyl 2,3,3-trimethoxy-butanoate, was prepared in 33% yield from methyl 2-chloro-3,3-dimethoxy-butanoate (the acetal used for the preparation of 10) by treatment with NaOMe. The acetal boiled at 89-91°/9 torr], 12a 93°/11 torr, 12b 80-81°/2 torr.

¹H NMR (60 MHz, CCl₄, Me₄Si,  $\delta$  values, coupling constants in Hz) and ¹³C NMR (15 MHz, CDCl₃, Me₄Si as internal standard) spectra. 3-MeO-2-cyclohexen-1-one (1b): ¹H NMR 1.7-2.5 (3CH₂), 3.63 (MeO), 5.15 (C=CH); ¹³C NMR 199.6 (C-1), 102.3 (C-2), 178.7 (C-3), 28.9 (C-4), 21.2 (C-5), 36.8 (C-6), 55.5 (MeO). 3-MeO-2-Me-2-cyclohexen-1-one (2b): ¹H NMR 1.7-2.6 (3CH₂), 3.73 (MeO), 1.54 (Me-C=C, t, J = 1.3); ¹³C NMR 198.7 (C-1). 114.7 (C-2), 172.1 (C-3), 24.8 (C-4), 20.9 (C-5), 36.3 (C-6), 55.2 (MeO), 7.3 (Me-C=C). (E)-4-MeO-3-penten-2-one (3b): ¹H NMR 2.03 (Me-C=C), 2.18 (Me-C=O), 3.57 (MeO), 5.30 (C=CH); ¹³C NMR 32.0 (C-1), 196.9 (C-2), 99.3 (C-3), 172.7 (C-4), 19.5 (C-5), 55.4 (MeO). Me (E) - 3 - MeO - 2 - butenoate (4b): ¹H NMR 2.26 (Me), 3.61 (MeO), 3.64 (MeO), 4.96 (C=CH); ¹³C NMR 168.3 (C-1, 90.6 (C-2), 173.2 (C-3), 18.8 (C-4), 55.4 (MeO), 50.7 (COOMe). Et (E)-3-MeO-2butenoate (5b): ¹H NMR 1.21 (Me-CH₂, t, J = 6.9), 2.19 (Me), 3.53 (MeO), 3.98 (CH2), 4.82 (C=CH); 13C NMR 167.9 (C-1), 91.0 (C-2), 173.1 (C-3), 18.8 (C-4), 55.4 (MeO), 59.3 (CH2), 14.4 (Me). t-Bu (E)-3-MeO-2-butenoate (6b): 1H NMR 1.42 (3Me), 2.19 (Me), 3.57 (MeO), 4.80 (C=CH); 13C NMR 167.4 (C-1), 92.6 (C-2), 172.0 (C-3), 18.6 (C-4), 55.1 (MeO), 78.9 (C quaternary), 28.4 (Me). 4-MeO-3-Me-4-penten-2-one (7a): ¹H NMR 1.14 (Me, d, J = 6.8), 2.08 (Me-C=O), 3.00 (CH), 3.50 (MeO), 3.92 (CH₂); ¹³C NMR 27.2 (C-1), 207.5 (C-2), 53.0 (C-3), 163.1 (C-4), 82.7 (C-5), 55.0 (MeO), 14.0 (Me). (E)-4-MeO-3-Me-2-penten-2-one (7b): ¹H NMR 1.78 (Me, q, J = 1.3), 2.06 (Me-C=O), 2.21 (Me, q, J = 1.3), 3.63 (MeO);¹³C NMR 30.1 (C-1), 200.8 (C-2), 113.6 (C-3), 163.7 (C-4), 14.7 (C-5), 54.5 (MeO), 12.6 (Me). (Z)-4-MeO-3-Me-3-penten-2-one (7c): ¹H NMR 1.61 (Me, q, J = 1.0), 2.06 (Me-C=C), 2.08 (Me, q, J = 1.0), 3.63 (MeO); ¹³C NMR 32.5 (C-1), 199.3 (C-2), 115.8 (C-3), 163.0 (C-4), 15.1 (C-5?), 55.1 (MeO), 13.5 (Me?). Me 3-MeO-2-Me-3-butenoate (8a): ¹H NMR 1.24 (Me, d, J = 7.0), 3.05 (CH), 3.46 (MeO), 3.56 (MeO), 3.88 (CH₂); ¹³C NMR 173.6 (C-1), 45.2 (C-2), 162.5 (C-3), 81.9 (C-4), 52.0 (COOMe), 55.1 (MeO), 15.4 (Me). Me (E)-3-MeO-2-Me-2-butenoate (8b): 'H NMR 1.69 (Me, q, J = 1.3), 2.32 (Me, q, J = 1.3), 3.56 (MeO), 3.64 (MeO); ¹³C NMR 170.1 (C-1), 105.5 (C-2), 165.0 (C-3), 14.5 (C-4), 51.0 (COOMe), 54.8 (MeO), 11.5 (Me). Me (Z)-3-MeO-2-Me-2-butenoate (8c): ¹H NMR 1.86 (Me, q, J = 1.0), 2.32 (Me, q, J = 1.0), 3.52 (MeO), 3.64 (MeO); ¹³C NMR 174.2 (C-1), 102.4 (C-2), 165.0 (C-3), 17.5 (C-4?), 51.7 (COOMe), 53.2 (MeO), 13.1 (Me). 3-Cl-4-MeO-4-penten-2one (9a): ¹H NMR 2.19 (Me-C=O), 3.57 (MeO), 4.18 (C=CH, d, J = 3), 4.35 (C=CH, d, J = 3), 4.50 (CHCl); ¹³C NMR 25.8 (C-1), 198.8 (C-2), 65.3 (C-3), 157.6 (C-4), 87.3 (C-5), 55.8 (MeO). (Z)-3-Cl-4-MeO-3-penten-2-one (9b): 1H NMR 2.28 (Me-C=O), 2.40 (Me-C=C), 3.76 (MeO); ¹³C NMR 30.1 (C-1), 195.5 (C-2), 110.6 (C-3), 163.8 (C-4), 15.1 (C-5), 55.5 (MeO). Me 2-Cl-3-MeO-3butenoate (10a): ¹H NMR 3.47 (MeO), 3.61 (MeO), 4.05 (C=CH,

d, J = 3), 4.23 (C=CH, d, J = 3), 4.52 (CHCl); ¹³C NMR 167.2 (C-1), 58.1 (C-2), 157.5 (C-3), 86.7 (C-4), 53.4 (COOMe), 55.9 (MeO). Me (Z)-2-Cl-3-MeO-2-butenoate (10b): ¹H NMR 2.42 (Me-C=C), 3.63 (MeO), 3.75 (MeO); ¹³C NMR 165.3 (C-1), 102.0 (C-2), 165.1 (C-3), 15.0 (C-4), 52.2 (COO<u>Me</u>), 55.8 (MeO). Me (E)-2-Cl-3-MeO-2-butenoate (10c): 1H NMR 2.09 (Me-C=C), 3.58 (MeO), 3.61 (MeO); ¹³C NMR 164.3 (C-1?), 105.4 (C-2), 163.3 (C-37), 16.1 (C-4), 52.2 (COOMe), 57.3 (MeO). Me 2,3-diMeO-3-butenoate (11a): ¹H NMR 3.28 (MeO-CH), 3.50 (MeO), 3.67 (MeO), 4.12 (C=CH₂), CH not detected; ¹³C NMR 169.6 (C-1), 81.6 (C-2), 157.7 (C-3), 85.7 (C-4), 53.2 (COOMe), 57.3 (MeO at C-2), 55.3 (MeO at C-3). Me (E)-2,3-diMeO-2-butenoate (11b): ¹H NMR 2.22 (Me-C=C), 3.46 (MeO), 3.67 (MeO), 3.77 (MeO); ¹³C NMR 166.3 (C-1), 130.4 (C-2), 158.9 (C-3), 14.3 (C-4), 51.3 (COOMe), 60.3 (MeO at C-2), 56.0 (MeO at C-3). Me (Z)-2,3dimeO-2-butenoate (11c): ¹H NMR 1.96 (Me-C=C), 3.46 (MeO), 3.67 (MeO), 3.77 (MeO); ¹³C NMR 163.9 (C-1), 131.8 (C-2), 159.8 (C-3), 12.7 (C-4), 52.2 (COOMe), 58.0 (MeO at C-2?), 56.4 (MeO at C-3?). Et 2-MeO-2-cyclopentene-1-carboxylate (12a): ¹H NMR 1.22 (Me, t, J = 6.9), 1.8-2.5 (2CH₂), 3.4 (CH), 3.55 (MeO), 4.05 (CH2O), 4.52 (C=CH); 13C NMR 49.9 (C-1), 96.8 (C-3), 27.8 (C-4), 26.9 (C-5), 174.1 (C=O), 60.9 (CH2O), 14.3 (Me), 57.2 (MeO). Et 2-MeO-1-cyclopentene-1-carboxylate (12b): ¹H NMR 1.23 (Me, t, J = 6.9, 1.8-2.6 (3 ring CH₂), 3.78 (MeO), 4.05 (CH₂, q, J = 6.9); ¹³C NMR 130.8 (C-1), 165.2 (C-2), 31.3 (C-3?), 29.6 (C-4?), 19.2 (C-5?), 169.3 (C=O), 59.1 (CH₂O), 14.6 (Me), 57.8 (MeO).

Configurational assignments. The configurations of the geometric isomers of 3-6 follow from the configurational assignment of 3 made by Awang¹³ and those of 7 and 8 from the magnitude of the homoallylic coupling constant J_{HH} across the C=C bond: in each case, the geometric isomers exhibit J_{HH} values of 1.0 and 1.3 Hz the larger of which should be ascribed to the b isomer since the corresponding (trans-)homoallylic coupling constant in 2b is also 1.3 Hz. The more stable geometric isomer of 9 has also been prepared by Verhe *et al.*²¹ proposing the structure b for it. Finally, the configurations of the geometric isomers of 10 and 11 follow from the remarkable constancy of the ¹³C NMR chemical shift of the Me group cis to the R'CO group in the b isomers of 7, 8 and 9 ( $\delta = 14.7$ , 14.5 and 15.1, respectively), and hence the observed shift values of 15.0 and 14.3 ppm for the corresponding C atom in the geometric isomers of 10 and 11 should be ascribed to the b isomers (rather than the alternative shift values of 16.1 and 12.7 ppm, respectively). In addition, the values of the thermodynamic data of isomerization are easier to comprehend if the configurations of the geometric isomers are taken as proposed by the spectral data.

Equilibrations. The equilibration experiments were carried out in cyclohexane or CCl₄ solution with  $I_2$  as catalyst.^{7,22} The

equilibrium mixtures were analyzed by glc (a Hewlett-Packard 5720A gas chromatograph equipped with a Carbowax 20M column) or/and by ¹H NMR spectroscopy (a Jeol JNM-PMX60 NMR spectrometer). If the equilibrium mixtures could be analyzed by glc, cyclohexane was used as solvent. In many cases, however, this method was unsatisfactory because of poor separation of the isomeric forms or because of thermal decomposition in the column (9-11) and then the isomer ratios at equilibrium were determined from the relative integrated intensities of suitable ¹H NMR signals from spectra recorded in CCL solution. The equilibrium mixtures of 1-6 were analyzed by both glc and ¹H NMR spectroscopy but the presence of isomers other than the b form could not be detected. The equilibrations on 1-6 were carried out at 373 K, those on 7 at 257, 276, 293 and 373 K. those on 8, 10 and 11 at 333, 373 and 403 K, those on 9 at 298, 333, 373 and 403 K, and those on 12 at 297, 333, 373 and 403 K.

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